

**REQUEST FOR APPLICATIONS FOR**

**Public Health Gateway Onboarding Services**

**ISSUING OFFICE**

**Commonwealth of Pennsylvania  
Department of Human Services  
Bureau of Procurement and Contract Management  
Room 832 Health and Welfare Building  
625 Forster Street  
Harrisburg, PA 17120**

**RFA NUMBER**

**13-19**

**DATE OF ISSUANCE**

**January 29, 2020**

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Public Health Gateway Onboarding Services**

**RFA #13-19**

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## CALENDAR OF EVENTS

The Commonwealth will make every effort to adhere to the following schedule:

Activity	Responsibility	Date
Deadline to submit Questions via email to: <a href="mailto:RA-PWRFAQUESTIONS@pa.gov">RA-PWRFAQUESTIONS@pa.gov</a>	Potential Applicants	February 6, 2020 12:00 PM EST
Answers to Potential Applicant questions posted to the Department of General Services (DGS) website ( <a href="http://www.emarketplace.state.pa.us">http://www.emarketplace.state.pa.us</a> ) no later than this date.	Issuing Office	February 13, 2020
Please monitor website for all communications regarding the RFA.	Potential Applicants	ONGOING
Sealed application must be received by the Issuing Office at:  Commonwealth of Pennsylvania Department of Human Services Bureau of Procurement and Contract Management Room 832 Health and Welfare Building 625 Forster Street Harrisburg, Pennsylvania 17120	Applicants	February 28, 2020 12:00 PM EST

## PART I

### GENERAL INFORMATION

- I-1. Purpose.** This request for applications (“RFA”) provides to eligible Health Information Organizations (“HIOs”) interested in submitting applications (“Applicants”) sufficient information to prepare and submit applications for the Department of Human Services’ (“DHS” or “Department”) consideration on behalf of the Commonwealth of Pennsylvania (“Commonwealth”) for the award of grants to provide for the onboarding of HIOs and medical providers to the Public Health Gateway (“PHG”) and various public health registries (“Project”). This RFA contains instructions governing the requested applications, including the requirements for the information and material to be included; a description of the service to be provided; requirements which Applicants must meet to be eligible for consideration; general evaluation criteria; and other requirements specific to this RFA.
- I-2. Issuing Office.** The Department’s Office of Administration, Bureau of Procurement and Contract Management (“Issuing Office”) has issued this RFA on behalf of the Office of Medical Assistance Programs. The sole point of contact in the Commonwealth for this RFA shall be Karen Kern, [RA-PWRFAQUESTIONS@PA.GOV](mailto:RA-PWRFAQUESTIONS@PA.GOV), the Issuing Officer for this RFA. Please refer all inquiries to the Issuing Officer.
- I-3. Overview of the Project.** The Department, to continue development of the statewide health information exchange (“HIE”), is providing grant funding to eligible HIOs for the purpose of onboarding HIOs and medical providers to the PHG and various public health registries. Through this RFA, the Department is providing to eligible HIOs the opportunity to apply for grant funding for the following two (2) types of projects.
- **Project Lot 1 – HIO Infrastructure Grants.** An eligible HIO may apply for grant funding of up to \$5,000 per registry to onboard to one of the eligible PHG systems or registries. **Please refer to Part IV, Section IV-2.A for a list of eligible registries.** Eligible HIOs may apply to onboard to more than one system or registry.
  - **Project Lot 2 – Provider Public Health System Submission Grants.** An eligible HIO may apply for up to \$5,000 per Member Organization (“MO”) per registry to offset costs incurred by its MO to enable PHG submissions, with a maximum grant of \$10,000 per MO.

Additional detail is provided in **Part IV** of this RFA.

**I-4. Objectives.**

- A. General.** The objective of this RFA is to advance HIE efforts in Pennsylvania by increasing the speed and accuracy of diagnosis for individuals and populations; alerting providers and care teams to an admission of patients; reducing readmissions and redundant tests by sharing patient information with other providers who have treated

the same patients; and increasing patient satisfaction by reducing their time spent in the healthcare system and eliminating frustrating duplication.

- B. Specific.** The PHG was developed as single point of connection for a provider, via an HIO, to a variety of public health reporting systems and registries. These currently include immunization, cancer, electronic lab, and syndromic surveillance reporting as well as querying the Prescription Drug Monitoring program (“PDMP”). The PHG also enables submission to the Department’s electronic clinical quality measure (“eCQM”) repository. This grant program is being offered to provide funding to HIOs and, through them, to their MOs to onboard to the PHG and public health registries. Continuation of this initiative will enable more HIOs and registries to be accessible via the PHG and will allow for increasing the number of providers connected to the PHG.
- I-5. Type of Agreement.** The Department intends to award multiple grants as a result of this RFA. If the Department enters into agreements, they will be deliverable (milestones) based agreements using the Grant Agreement attached to this RFA as **Appendix B** and which will include the attached Payment Provisions (**Rider 1**), Standard Grant Terms and Conditions (**Rider 4**) and the DHS Addendum (**Rider 5**) and its attachments. **Rider 2** Work Statement will consist of **Part IV** to this RFA and the selected Applicant’s Technical Submittal and **Rider 3** Budget will consist of the selected Applicant’s PHG Grant Budget. The Applicant should use the format of Rider 3 for their budget chart for its application for both Lot 1 and Lot 2. The Department will pay selected Applicants upon the Department’s acceptance of deliverables (milestones) as provided in the grant agreement.
- I-6. Rejection of Applications.** The Department, in its sole and complete discretion, may reject any application received as a result of this RFA and may choose to award grants to all, some or none of the Applicants and for all, some or none of the grant funding opportunities.
- I-7. Incurring Costs.** The Commonwealth is not liable for any costs an Applicant incurs in the preparation and submission of its application, in participating in the RFA, or for any service or work performed or expenses incurred prior to the effective date of a fully executed Grant Agreement.
- I-8. Questions & Answers.** If an Applicant has any questions regarding this RFA, the Applicant must submit the questions by email (**with the subject line “RFA #13-19 Question”**) to the Issuing Officer named in **Part I, Section I-2** of the RFA. If the Applicant has questions, they must be submitted via email **no later than** the date indicated on the Calendar of Events. The Applicant shall not attempt to contact the Issuing Officer by any other means.

An Applicant who submits a question *after* the deadline date for receipt of questions indicated in the Calendar of Events assumes the risk that its application will not be responsive or competitive because the Department is not able to respond before the application receipt date or in sufficient time for the Applicant to prepare a responsive or competitive application. When questions are submitted after the deadline date indicated in the Calendar of Events, the Issuing Officer *may* respond to questions of an administrative

nature by directing the questioning Applicant to specific provisions in the RFA. To the extent that DHS decides to respond to a non-administrative question *after* the deadline date for receipt of questions indicated on the Calendar of Events, DHS will provide the answer to all Applicants through an addendum to the RFA. The Department shall post the answers to the questions on the Department of General Services' ("DGS") website by the date stated in the Calendar of Events.

- I-9. Addenda to the RFA.** If the Department deems it necessary to revise any part of this RFA before the application response date, the Issuing Office will post an addendum to the DGS website at <http://www.emarketplace.state.pa.us>. Each Applicant must monitor the DGS website for new or revised RFA information.
- I-10. Response Date.** To be considered, Applicants may submit hard copies of their applications to the Issuing Office no later than the date and time specified in the Calendar of Events. The Department will not accept applications via email or facsimile transmission. Applicants who send applications by mail or other delivery service should allow sufficient delivery time for the timely receipt of their applications. If, due to inclement weather, natural disaster, or any other cause, the Commonwealth office location to which applications are to be returned is closed on the application response date, the deadline for submission will be automatically extended until the next Commonwealth business day on which the office is open, unless the Issuing Office otherwise notifies Applicants. The hour for submission of applications shall remain the same. The Department will reject late applications.
- I-11. Application Requirements.** Applicants may apply for multiple Projects Lots; however; Applicants must submit a separate application package for each Project Lot.

To be considered, Applicants should submit a complete response to this RFA to the Issuing Office, using the format provided in **Part II**, providing **one (1) original (including original signatures) and five (5) bound copies of the entire application (Technical and Budget Submittals) for a total of six (6) copies**. In addition to the paper copies of the application, Applicants shall submit **two complete (2) and exact** copies of the entire application on a USB Flash Drive in Microsoft Office or Microsoft Office-compatible format. The electronic copy must be a mirror image of the paper copy and any spreadsheets must be in Microsoft Excel. To the extent that an Applicant designates information as confidential, proprietary or trade secret protected in accordance with **Part I, Section I-16**, an Applicant must include one (1) redacted version of the Technical Submittal on a USB Flash Drive in Microsoft Office or Microsoft Office compatible format. Applicants may not lock or protect any cells or tabs. The USB Flash drive should clearly identify the Applicant and include the name and version number of the virus scanning software that was used to scan the USB Flash drive before it was submitted. Applicants should not include budget information in the technical submittal and should not reiterate technical information in the budget submittal.

The Applicant shall make no other distribution of its application to any other Applicant or Commonwealth official or Commonwealth consultant. Each application page should be numbered for ease of reference. An official authorized to bind the Applicant to its

provisions must sign the application. If the official signs the Application Cover Sheet (**Appendix A to this RFA**) and Grant Agreement Signature Page (**Appendix C**) and the Application Cover Sheet and Grant Agreement Signature page are attached to the application, the requirement is met. For this RFA, the application must remain valid for **120** days or until an agreement is fully executed. If the Department selects the Applicant's application for award, the contents of the selected Applicant's application will become, except to the extent the contents are changed through negotiations, grant obligations.

Each Applicant submitting an application specifically waives any right to withdraw or modify it, except that the Applicant may withdraw its application by written notice received at the Issuing Office's address for application delivery prior to the exact hour and date specified for application receipt. An Applicant or its authorized representative may withdraw its application in person prior to the exact hour and date set for application receipt, provided the withdrawing person provides appropriate identification and signs a receipt for the application. An Applicant may modify its submitted application prior to the exact hour and date set for application receipt only by submitting a new sealed application or sealed modification that complies with the RFA requirements.

- I-12. Economy of Preparation.** Applicants should prepare applications simply and economically, providing a straightforward, concise description of the Applicant's ability to meet requirements of the RFA.
- I-13. Alternate Applications.** The Department has identified the basic approach to meeting its requirements. The Department will not accept alternate applications.
- I-14. Discussions for Clarification.** Applicants may be required to make an oral or written clarification of their applications to the Department to ensure thorough mutual understanding and Applicant responsiveness to the solicitation requirements. The Department will initiate requests for clarification. Clarifications may occur at any stage of the evaluation and selection process, prior to the award of an agreement.
- I-15. Prime Grantee Responsibilities.** The grant will require the selected Applicant to be responsible for all services offered in its application whether it produces them itself or by subcontract. The Department will consider the selected Applicants to be the sole points of contact with regard to Project matters.
- I-16. Application Contents.**
  - A. Confidential Information.** The Commonwealth is not requesting, and does not require, confidential proprietary information or trade secrets to be included as part of Applicants' submissions in order to evaluate applications. Except as provided, Applicants should not label applications as confidential or proprietary or trade secret protected. Any Applicant who determines that it must divulge such information as part of its application must submit the signed written statement described in subsection c. below and must additionally provide a redacted version of its application (hard copy and electronic), which removes only the confidential proprietary information and trade secrets.



- B. Commonwealth Use.** All material submitted with the application shall be considered the property of the Commonwealth and may be returned only at the Department's option. The Commonwealth may use any or all ideas not protected by intellectual property rights that are presented in any application regardless of whether the application becomes part of a grant. Notwithstanding any Applicant copyright designations, the Commonwealth shall have the right to make copies and distribute applications internally and to comply with public record or other disclosure requirements under the provisions of any Commonwealth or United States statute or regulation, or rule or order of any court of competent jurisdiction.
- C. Public Disclosure.** After the awards of agreements, all applications are subject to disclosure in response to a request for public records made under the Pennsylvania Right-to-Know-Law, 65 P.S. § 67.101, et seq. If an application contains confidential proprietary information or trade secrets, a signed written statement to this effect must be provided with the submission in accordance with 65 P.S. § 67.707(b) for the information to be considered exempt under 65 P.S. § 67.708(b)(11) from public records requests. Please see **Appendix D** of the RFA for a Trade Secret Confidential Proprietary Information Notice Form that may be utilized as the signed written statement, if applicable.
- I-17. News Releases.** Applicants shall not issue news releases, Internet postings, advertisements or any other public communications pertaining to the Project without prior written approval of the Department, and then only in coordination with the Department.
- I-18. Restriction of Contact.** From the issue date of this RFA until the Department selects applications for award, the Issuing Officer is the sole point of contact concerning this RFA. Any violation of this condition may be cause for the Department to reject the offending Applicant's application. If the Department later discovers that the Applicant has engaged in any violations of this condition, the Department may reject the offending Applicant's application or rescind its grant award.
- I-19. Department Participation.** Selected Applicants shall provide all services, supplies, facilities, and other support necessary to complete the Project for which it receives grant funding. The Department will monitor compliance.
- I-20. Term of Agreement.** The term of the Grant Agreements will commence on the Effective Date and will end September 30, 2020. DHS will fix the Effective Date after a grant has been fully executed by the selected Applicant and by the Commonwealth and all approvals required by Commonwealth grant procedures have been obtained. The selected Applicants shall not start the performance of any work prior to the Effective Date of the grant agreement.
- I-21. Applicant's Representations and Authorizations.** By submitting its application, each Applicant understands, represents, and acknowledges that:
- A.** Applicant's information and representations in the application are material and

important, and the Department will rely upon the contents of the application in making awards. The Commonwealth may treat any misstatement, omission, or misrepresentation as fraudulent concealment of the true facts relating to the application, punishable pursuant to 18 Pa. C.S. § 4905.

- B.** The Applicant has arrived at the prices and amounts in its application independently and without consultation, communication, or agreement with any other Applicant or potential Applicant.
- C.** The Applicant has not disclosed the price, the amount of the application, or the approximate price or amount of its application to any other firm or person who is an Applicant or potential applicant, and the Applicant shall not disclose any of these items on or before the application submission deadline.
- D.** The Applicant has not attempted, nor will it attempt, to induce any firm or person to refrain from submitting an application for this agreement, or to submit an application higher than this application, or to submit any intentionally high or noncompetitive application or other form of complementary application.
- E.** The Applicant makes its application in good faith and not pursuant to any agreement or discussion with, or inducement from, any firm or person to submit a complementary or other noncompetitive application.
- F.** To the best knowledge of the person signing the application for the Applicant, the Applicant, its affiliates, subsidiaries, officers, directors, and employees are not currently under investigation by any governmental agency and have not in the last **four (4)** years been convicted or found liable for any act prohibited by State or Federal law in any jurisdiction, involving conspiracy or collusion with respect to bidding or proposing on any public contract, except as the Applicant has disclosed in its application.
- G.** To the best of the knowledge of the person signing the application for the Applicant and except as the Applicant has otherwise disclosed in its application, the Applicant has no outstanding, delinquent obligations to the Commonwealth including, but not limited to, any state tax liability not being contested on appeal or other obligation of the Applicant that is owed to the Commonwealth.
- H.** The Applicant is not currently under suspension or debarment and has not been precluded from participation in a federally funded healthcare program by the Commonwealth, any other state or the federal government, and if the Applicant cannot so certify, then it shall submit along with its application a written explanation of why it cannot make such certification.
- I.** The Applicant has not made, under separate contract with the Department, any recommendations to the Department concerning the need for the services described in its application or the specifications for the services described in the application.

- J.** Each Applicant, by submitting its application, authorizes Commonwealth agencies to release to the Commonwealth information concerning the Applicant's Pennsylvania taxes, unemployment compensation and workers' compensation liabilities.
  - K.** Until the selected Applicant receives a fully executed and approved written agreement from the Department, there is no legal and valid agreement, in law or in equity, and the Applicant shall not begin to perform.
- I-22. Use of Electronic Versions of this RFA.** This RFA is being made available by electronic means. If an Applicant electronically accepts the RFA, the Applicant accepts full responsibility to ensure that no changes are made to the RFA. If a conflict between a version of the RFA in the Applicant's possession and the Department's version of the RFA exists, the Department's version shall govern.
- I-23. Notifying of Selections.** The Issuing Officer will notify each Applicant of its selection or non-selection for grant award.

## PART II

### APPLICATION REQUIREMENTS

- II-1. Eligible Applicants.** To submit an application for the RFA, Applicants must be a certified or provisionally certified Pennsylvania Patient and Provider Network (“P3N”) HIO.
- II-2. Applicant Checklist.** Applicants may submit applications for grant funding for one or more Project Lots; however, an Applicant must submit separate applications for each Project Lot for which it wishes to be considered. Applicants must submit their application in the format outlined below:
- A. Appendix A – Application Cover Sheet.** Failure to complete, sign, and return this form with the Applicant’s application will result in the rejection of the application.
  - B. Part IV – Technical Submittal.** Applicants must address all sections included in RFA Part IV Technical Submittal.
  - C. Implementation Plan and Timeline.** Applicants must also submit a detailed implementation plan, including a timeline indicating when each specific grant activity will be completed for the HIO to onboard to each registry, and for each MO to be onboarded to the PHG and its registries. In developing the implementation plan, address the requirements of RFA Part IV.
  - D. Appendix E – Member Organization Eligibility Form.** Signed forms, if submitting for Lot 2, must also be attached. See Part IV-2.D for more information.
  - E. Appendix B, Attachment B – Lobbying Certification Form and the Disclosure of Lobbying Activities Form.** This Project will be funded, in whole or in part, with federal monies. Public Law 101-11, Section 319, prohibits federal funds from being expended by the recipient or by any lower tier subrecipients of a federal contract, grant, loan, or a cooperative agreement to pay any person for influencing, or attempting to influence a federal agency or Congress in connection with the awarding of any federal contract, the making of any federal grant or loan, or entering into any cooperative agreement. All parties who submit applications in response to this RFA must sign the “Lobbying Certification Form” (attached as **Attachment B to Appendix B**). The Disclosure of Lobbying Activities Form (also attached as **Appendix B**) must also be completed in its entirety. If there are no lobbying activities to report, please put “N/A” in boxes 1-10 and complete all information within box 11.
  - F. Appendix F – Federal Funding Accountability and Transparency Act.** Please complete this form in its entirety.
  - G. Rider 3 – PHG Grant Budget.** Complete for the appropriate Lot.
  - H. Appendix C – Grant Agreement Signature Page.** Applicants must have an individual

with authority to bind the Applicant to the Grant Agreement and its Riders and Attachments sign this form. The Department is not bound in any way to select or to enter into an agreement with an Applicant based on its submission of the signed Grant Agreement Signature Page with the Application.

**PART III**  
**CRITERIA FOR SELECTION**

**III-1. Mandatory Responsiveness Requirements.** To be eligible for selection, an Applicant must:

- A. Submit its application so it is timely received by the Department (see **Part I, Section I-10**);
- B. Have properly signed its application (see **Part I, Section I-11**);
- C. Be a certified or provisionally certified P3N HIO (see **Part II, Section II-1**); and
- D. Be current in P3N participation fees for the fourth quarter in calendar year 2019 (October 1, 2019 through December 31, 2019).

**III-2. Technical Nonconforming Applications.** The four (4) Mandatory Responsiveness Requirements set forth in **Section III-1** are the only RFA requirements that the Commonwealth will consider to be *non-waivable*. The Department, may in its sole discretion, (1) waive any other technical or immaterial nonconformities in an application, (2) allow the Applicant to cure the nonconformity, or (3) consider the nonconformity in the scoring of the Applicant's application.

**III-3. Evaluation Criteria.** The Department will use the following criteria in evaluating each application for each Lot:

**Technical:** In evaluating the technical submittal, the Department will consider the following criteria:

**A. Lot 1:**

- 1. The HIO must meet the requirements specified in **Part IV, Section IV-1**.
- 2. Whether the HIO will complete the onboarding process during the performance period of the grant, on or before September 30, 2020.

**B. Lot 2:**

**1. Soundness of Approach, including:**

- a. The proposed MOs meet the minimum criteria for onboarding to the registry they wish to onboard through the PHG. (See **Part IV, Section IV-2** for registry-specific criteria).
- b. The proposed MOs will actively participate in the PHG.

- c. Whether the proposed MOs will complete the onboarding process during the performance period of the grant, on or before September 30, 2020.
- d. Proposed MOs with high Medical Assistance utilization.
- e. Proposed MOs with high volume reporting and use of the registry they wish to onboard to through the PHG.

**III-4. Applicant Responsibility.** To be responsible, an Applicant must submit a responsive application and possess the capability to fully perform the agreement requirements in all respects and the integrity and reliability for the good faith performance of the agreement.

Further, the Department will award an agreement only to an Applicant determined to be responsible in accordance with the most current version of Commonwealth Management Directive 215.9, Contractor Responsibility Program.

**III-5. Final Ranking and Award.**

- A. For Lot 1, the Department will not award grant funding that exceeds \$5,000.00 per successful PHG registry onboarding and will award no more than \$30,000.00 per Applicant. The Department will award grant funding to highest scoring Applicants.
- B. For Lot 2, the Departments intends to award funds to one (1) or more qualified Applicants with the highest score(s) resulting from the application review process. The Department will not award grant funding that exceeds \$5,000.00 per successful onboarding of a MO to a registry through the PHG and will award no more than \$10,000.00 per MO. The Department will not award funding to an Applicant for a proposed MO that does not meet the eligibility criteria of **Part IV, Section IV-2.**

Only one Applicant may be awarded funding to onboard any given MO to a registry through the PHG. If multiple applications for the same MO are submitted, the Department will award to the HIO that has existing PHG connections with the MO. If no existing PHG connections exist with the MO, the Department will award to the HIO that has the most complete onboarding with the MO.

## PART IV

### TECHNICAL SUBMITTAL

**IV-1. HIO Eligibility Requirements.** To participate in either Lot 1 or Lot 2 of the PHG onboarding program and to receive grant funding, HIOs must meet the following requirements:

- A.** The selected Applicant must maintain its P3N certification or obtain P3N certification if provisionally certified; must maintain connection to the P3N and be in good standing, to include payment of P3N approved participation fees for a minimum of two (2) years following the completion of a Project Lot.
- B.** A selected Applicant must allow a MO to originate clinical information and exchange clinical information between the MO and the Applicant, which also must be available for exchange through the P3N. A selected Applicant may not limit exchanges between the MO and the Applicant. At a minimum, the clinical information must include Continuity of Care Documents (“CCDs”) and the Consolidated Clinical Document Architecture (“CCDA”). Additionally, when a MO queries for clinical information from the selected Applicant, the Applicant is required to query the P3N.
- C.** The Applicant must provide HIE services and functionality that enable its MOs to satisfy HIE-related Meaningful Use requirements, where applicable.
- D.** The selected Applicant must comply with all applicable laws, regulations, policies, and terms and requirements, including those related to the Health Insurance Portability and Accountability Act of 1996 (“HIPAA”) and the Health Information Technology for Economic and Clinical Health (“HITECH”) of the American Reinvestment and Recovery Act of 2009.

**IV-2. MO Eligibility Requirements.**

**A. Registry Requirements.** The proposed MOs must meet the criteria for onboarding to the requested system or registry. The Applicant must verify that each proposed MO meets the criteria for the requested registry. DHS may prioritize PHG onboarding grant awards based on the proposed MO’s registry volume, readiness to onboard to a specific registry, and the registry’s testing capacity.

**1. Pennsylvania Cancer Registry:** The Pennsylvania Cancer Registry is only available to physician practices in the following specialties:

- a.** Oncology (including clinical, surgical, gynecologic, pediatric, neurological and urological)
- b.** Hematology
- c.** Dermatology (including plastic/reconstructive surgery)



- d. Gastroenterology/Gastrointestinal
- e. Urology

Additionally, the practice must be able to submit its cancer data transmissions in C-CDA file format. Hospitals and community health centers are not eligible to report to the Pennsylvania Cancer Registry through the PHG.

2. **Pennsylvania State Immunization Information System (“PA-SIIS”):** A proposed MO must meet the following criteria for the HIO to apply for connection to PA-SIIS via the PHG:
    - a. The MO must have a currently established and functional (more than 10 immunizations reported to PA-SIIS per week), bi-directional (reporting and querying), point-to-point (provider to PA-Department of Health “DOH” PA-SIIS registry) connection.
    - b. MO’s EHR vendor must be a currently certified CMS/ONC Meaningful Use/Promoting Interoperability solution, as defined at: <https://www.cms.gov/Regulations-and-Guidance/Legislation/EHRIncentivePrograms/Certification.html>.
    - c. Messaging must comply with HL7 2.51 specifications as per [http://www.dhs.state.pa.us/cs/groups/webcontent/documents/document/p\\_039230.pdf](http://www.dhs.state.pa.us/cs/groups/webcontent/documents/document/p_039230.pdf) and <https://www.cdc.gov/vaccines/programs/iis/technical-guidance/hl7.html>.
  3. **Pennsylvania Syndromic Surveillance Program Registry:** The Syndromic Surveillance registry is only available for acute care hospital emergency departments in Pennsylvania.
  4. **Pennsylvania Prescription Drug Monitoring Program:** The PDMP is a public health registry and is available to any Eligible Professionals (“EPs”), Eligible Hospitals (“EHs”), and Critical Access Hospitals (“CAHs”).
  5. **Pennsylvania Electronic Lab Reporting Registry:** The Electronic Lab Reporting registry is only available to Pennsylvania hospitals that conduct specimen testing in-house and have an application capable of producing HL7 version 2.5.1 compliant messages meeting national and state requirements.
  6. **Pennsylvania Electronic Clinical Quality Measure Registry:** The eCQM registry is available to all EPs participating in the MA Promoting Interoperability Program.
- B.** The proposed MO must be a Promoting Interoperability Program (“PIP”) participating professional (“PP”).

**-OR-**

The proposed MO must have a common Medicaid beneficiary treatment relationship

with a PP, such that participation with the HIO will permit information sharing to facilitate coordination or care or transitions of care, and thus support the PP in meeting HIE related meaningful use requirements. In this case, the PP must also be an MO with P3N certified HIO or be in the process of onboarding to a certified HIO. The HIO for the PP may or may not be the same as the HIO applying for funding.

**C.** In the case of proposed MOs that are not themselves PPs:

1. The MO may be any of the following: physical or behavioral health provider or practice, substance use disorder treatment provider, long-term care provider (including nursing facilities), home health provider, pharmacy, laboratory, correctional health provider, emergency medical service provider, or public health provider.
2. The Applicant is required to identify the PP associated with the proposed MO and provide contact information, including the National Provider Identifier (“NPI”) or CMS Certification Number (“CCN”), for that PP.
3. The Department will validate the PP status as a MA PIP participant.
4. The Department will validate that the PP is participating with or onboarding to a certified HIO with that certified HIO.
5. The Department may validate the treatment relationship between the MO and the PP by contacting the PP using the contact information provided in the application.

**D.** Member Organization Eligibility Form (**Appendix E**):

1. The Applicant must include in its application for Lot 2 a Member Organization Eligibility Form (**Appendix E**) that includes the requested information for each proposed MO.
2. Only eligible hospitals ("EH") and individual EPs are eligible to be PPs under the PIP, formerly known as the Medicaid EHR Incentive Program. If you are applying for an MO as anything other than an inpatient hospital, provide a name and NPI # of the individual provider that is both a PP and currently with the practice.
3. If the MO is not itself a PP, provide the CCN of the EH that has participated in the PIP and with whom the MO has a common Medicaid beneficiary treatment relationship. The EH must also be onboarded or in the process of onboarding to a P3N certified HIO.
4. If the answer to the question in column C of the MO Eligibility Form regarding whether the MO is a PP in the PIP is no, Applicant should leave the associated cells in columns D and E blank for that MO.
5. If the proposed MO is not itself participating in the PIP, complete columns F-J. If you are providing an EH that has a common Medicaid treatment relationship with the named MO, you must provide the CCN number of the EH. If you are providing an EP that has a common Medicaid treatment relationship with the named MO, you must provide the specific name and NPI # of the individual provider. The name of the PP that is specifically associated with each MO must be provided. There should only be one EP included in column G for each proposed MO.
6. Additionally, obtain and submit a declaration form signed by the Office Manager of each proposed MO to verify that the named PP is still employed by that MO.

**IV-3. Project Activities and Requirements.** Grantees must complete all activities by September 30, 2020.

**A. Project Lot 1 – HIO PHG Onboarding Infrastructure Activities include:**

1. HIO notifies DHS of its intention to connect to the PHG.
2. HIO chooses a Specialized Registry to which they intend to connect.
3. If this is the first time an HIO is connecting to the PHG:
  - a. DHS provides client digital certificates to connect to both the System Acceptance Test (“SAT”) and Production environments.
  - b. Basic connectivity is tested between the HIO and DHS.
  - c. DHS or DOH (depending on specialized registry) provides data encryption digital certificates to the HIO for both the SAT and Production environments to encrypt the message payload.
  - d. If a DOH specialized registry is chosen, HIO provides DOH with data encryption digital certificates for both the SAT and Production environments to encrypt the registry response.
4. HIO sends test messages to PHG SAT; Registry owner confirms receipt and message is processed.
5. HIO confirms and attests that registry response from PHG SAT is processed.

**B. Project Lot 2 – Provider PHG Onboarding to Public Health System through an HIO:**

1. HIO notifies DHS of its intention to onboard an MO to PHG.
2. Registry owner confirms MO as a candidate to send messages through the PHG.
3. HIO implements connectivity to deliver messages from MO to the HIO.
4. HIO sends test messages to PHG SAT; Registry owner confirms receipt and message is processed.
5. HIO confirms and attests that registry response from PHG SAT is processed.
6. HIO sends test messages to PHG Production; Registry owner confirms receipt and message is processed.
7. HIO confirms and attests that registry response from PHG Production is processed.

The Department will pay an all-inclusive fee for the successful connection of each registry to the PHG. The selected Applicants must certify that it has confirmed that a registry response from PHG Production has been processed (See **Part IV, Section IV-3 A. item 5** for Lot 1 and **Part IV Section IV-3B item 7** for Lot 2).

**IV-4. Valid Use of Grant Funds.**

- A.** Grantees may use Lot 1 grant funds for project management, legal activities, technical development or configuration and testing, workflow integration, training, and go-live support for users. Lot 2 grant funds must be used to offset the costs incurred by its MO to enable PHG submissions.
- B.** Grantees must attest that all expenses submitted for payment are valid under the terms

of the grant.

- C. Under no circumstances will the Department reimburse a grantee under this grant program for work already paid for using any other source of funding.
- D. The Department will reimburse grantees only for onboarding services provided during the term of a resulting Grant Agreement.

**IV-5. Work Statement.** Applicants shall complete the subsequent Work Statements for each Lot being applied for. All responses must be typed. For Project Lot 2, please use a separate Work Statement page for each MO. Applicants must also include in its application for both Lot 1 and Lot 2 its proposed plan, including a detailed Implementation Plan and Timeline, as indicated in **Part II, Section II-2**, resource dedication, and narrative as to how the Applicant will complete the onboarding process.

**PHG Onboarding Services  
Project Lot 1 – HIO Infrastructure Grants  
Work Statement**

**A. General Information:**

1. Legal Business Name of Applicant
2. Applicant Business Address
3. Applicant Organization Type (e. g., Corporation, LLC, d/b/a)
4. Federal DUNS Number
5. Complete the following table. In addition to the connection between the HIO and the PHG, check the boxes below for all registries in which you are applying for funding.

<input type="checkbox"/>	Immunization Registry	\$5,000
<input type="checkbox"/>	Electronic Lab Reporting	\$5,000
<input type="checkbox"/>	Cancer Registry	\$5,000
<input type="checkbox"/>	Syndromic Surveillance	\$5,000
<input type="checkbox"/>	Prescription Drug Monitoring Program (PDMP)	\$5,000
<input type="checkbox"/>	eCQM reporting	\$5,000

6. Implementation Plan and Timeline. Please provide a detailed and realistic implementation plan and timeline to document all activities associated with completing this onboard. All onboarding activities must be completed by or before September 30, 2020.
7. Please provide a list of resources that will be needed to complete the onboarding process.
8. Please provide a narrative as to how the Applicant will complete the onboarding activity.

**PHG Onboarding Services  
Project Lot 2 - Provider Public Health System Submission Grants  
Work Statement**

**A. General Information:**

1. Legal Business Name of Applicant
2. Applicant Business Address
3. Applicant Organization Type (e. g., Corporation, LLC, d/b/a)
4. Federal DUNS Number
5. Complete the table below in its entirety (duplicate table as needed) for each MO for which you are requesting funding and include sufficient information to verify that the requirements of the requested system/registry are satisfied:

Organization Name:	
Organization Complete Physical Address:	
Organization Phone Number:	
Organization Type:	
Provider NPI #:	
Group NPI #:	
Total amount of funding being requested ( <b>up to \$10,000 per Organization</b> ):	
Is there an existing legal Agreement in place between your organization and the MO you are requesting funding for? Yes or No?	
Does this organization provide service to Medicaid patients? Yes or No?	
To which registries are you requesting funding for this organization?	
Does the organization meet the requirements listed in <b>Part IV, Section IV-2.A</b> for the requested registries?	
Does this organization have existing direct connections to any of the registries for which you are requesting funding? If so, which registries?	

6. Implementation Plan and Timeline. Please provide a detailed and realistic implementation plan and timeline to document all activities associated with completing this onboard. All onboarding activities must be completed by or before September 30, 2020.

- 7.** Please provide a list of resources that will be needed to complete the onboarding process.
- 8.** Please provide a narrative as to how the Applicant will complete the onboarding activity.